



QUARTER ENDED NOVEMBER 30, 2020

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**HOWARD COUNTY JUNIOR COLLEGE DISTRICT
BOOK VALUE AND MARKET VALUE OF INVESTMENTS BY TYPE OF ASSET
QUARTER ENDED NOVEMBER 30, 2020**

	August 31, 2020		November 30, 2020		Stated Maturity	Acquiring Fund
	Book Value	Market Value	Book Value	Market Value		
Public Funds Investment Pools						
TexPool	\$ 548,766	\$ 548,766	\$ 548,950	\$ 548,950	1 day	Current Unrestricted - HC
Total Public Funds Invest Pools	\$ 548,766	\$ 548,766	\$ 548,950	\$ 548,950		
Money Market Funds						
Wells Fargo	\$ 8,257,057	\$ 8,257,057	\$ 4,191,829	\$ 4,191,829	1 day	Current Unrestricted - Howard College
Wells Fargo	1,444,150	1,444,150	1,722,691	1,722,691	1 day	Current Unrestricted - SWCID
Wells Fargo	499,122	499,122	605,768	605,768	1 day	Current Restricted - HC
Wells Fargo	1,915,889	1,915,889	1,428,586	1,428,586	1 day	Debt Service-HC
Wells Fargo	-	-	-	-	1 day	Construction-HC
Wells Fargo	2,503	2,503	1,758,723	1,758,723	1 day	Construction-SWCID
Wells Fargo	7,442	7,442	8,093	8,093	1 day	Endowments
Total Money Business Checking	\$ 12,126,163	\$ 12,126,163	\$ 9,715,690	\$ 9,715,690		
Certificates of Deposit						
Wells Fargo	\$ 892,982	\$ 892,982	\$ 892,982	\$ 892,982	90 days	Endowments
Wells Fargo	1,786,467	1,786,467	1,787,759	1,787,759	90 days	Current Unrestricted - HC
Wells Fargo	1,559,315	1,559,315	1,560,652	1,560,652	90 days	Current Unrestricted - HC
Wells Fargo	1,042,924	1,042,924	1,043,818	1,043,818	90 days	Current Unrestricted - HC
Wells Fargo	1,052,953	1,052,953	1,053,714	1,053,714	90 days	Current Unrestricted - HC
Wells Fargo	526,512	526,512	526,892	526,892	90 days	Current Unrestricted - HC
Wells Fargo	1,038,083	1,038,083	1,039,026	1,039,026	90 days	Current Unrestricted - HC
Wells Fargo	1,043,185	1,043,185	1,043,940	1,043,940	90 days	Current Unrestricted - HC
Wells Fargo	490,158	490,158	490,578	490,578	90 days	Current Unrestricted - SWCID
Wells Fargo	520,596	520,596	521,043	521,043	90 days	Current Unrestricted - SWCID
Wells Fargo	526,491	526,491	526,872	526,872	90 days	Current Unrestricted - SWCID
Wells Fargo	312,956	312,956	313,182	313,182	90 days	Current Unrestricted - SWCID
Total Certificates of Deposit	\$ 10,792,622	\$ 10,792,622	\$ 10,800,458	\$ 10,800,458		
Cash in Banks and on Hand						
Bank Deposits	\$ 145,888	\$ 145,888	\$ 240,677	\$ 240,677	1 day	Current Unrestricted - Howard College
Bank Deposits	38,184	38,184	68,864	68,864	1 day	Current Unrestricted - SWCID
Bank Deposits	3,301,012	3,301,012	3,596,342	3,596,342	1 day	Current Restricted - Howard College
Bank Deposits	-	-	-	-	1 day	Current Restricted - SWCID
Cash on Hand	7,579	7,579	6,394	6,394	1 day	Current Unrestricted - Howard College
Cash on Hand	169	169	169	169	1 day	Current Unrestricted - SWCID
Total Cash in Banks and on Hand	\$ 3,492,832	\$ 3,492,832	\$ 3,912,446	\$ 3,912,446		
TOTAL	\$ 26,960,383	\$ 26,960,383	\$ 24,977,544	\$ 24,977,544		

**INVESTMENT POSITION BY POOLED FUND GROUP
QUARTER ENDED NOVEMBER 30, 2020**

	Ending Market Value August 31, 2020	Additions and Changes During Quarter	Ending Market Value November 30, 2020
Pooled Fund Group			
Current Unrestricted Fund	\$ 10,441,793	\$ (3,662,219)	\$ 6,779,574
Current Unrestricted CD's	9,899,639	7,837	9,907,476
Current Restricted	3,800,135	401,975	4,202,110
Bond Proceeds/Construction - HC	-	-	-
Construction - SWCID	2,503	1,756,220	1,758,723
Endowment Fund	900,424	651	901,075
Debt Service - HC	1,915,889	(487,303)	1,428,586
	<u>\$ 26,960,383</u>	<u>\$ (1,982,839)</u>	<u>\$ 24,977,544</u>

INVESTMENT EARNINGS BY TYPE OF ASSET

	Interest Recorded Sep -Nov
Public Funds Investment Pool	\$ 184
Business Checking Funds	4,358
CD's	7,837
	<u>\$ 12,379</u>

**HOWARD COUNTY JUNIOR COLLEGE DISTRICT
SUMMARY OF INVESTMENTS
QUARTER ENDED NOVEMBER 30, 2020**

	<u>Book Value</u>	<u>%</u>	<u>Market Value</u>	<u>%</u>
Long-term Securities	\$ -		\$ -	
Debt Securities				
U.S. Government Obligations	\$ -		\$ -	
Direct Treasuries				
Guaranteed				
Mortgage Backed				
Other				
Total U.S. Government Obligations	<u>\$ -</u>		<u>\$ -</u>	
U.S. Government Agencies (Non-Gtd.)	\$ -		\$ -	
Mortgage Backed				
Other				
Total U.S. Govt. Agencies (Non-Gtd.)	<u>\$ -</u>		<u>\$ -</u>	
Foreign Government Obligations	\$ -		\$ -	
Municipal and County Bonds				
Corporate Bonds				
Total Debt Securities	<u>\$ -</u>		<u>\$ -</u>	
Equity Securities				
Common Stocks and Other Equities	\$ -		\$ -	
Index Funds				
Mutual Funds				
Other				
Total Equity Securities	<u>\$ -</u>		<u>\$ -</u>	
Total Long-term Securities	<u>\$ -</u>		<u>\$ -</u>	
Short-term Securities				
U.S. Government (Direct & Guaranteed)	\$ -		\$ -	
U.S. Government Agencies (Non-Gtd)				
Corporate Bonds				
TexPool	548,950	2.20%	548,950	2.20%
TexSTAR				
Other				
Total Short-term Securities	<u>\$ 548,950</u>		<u>\$ 548,950</u>	
Cash and Cash Equivalents				
Cash Held at State Treasury	\$ -		\$ -	
Certificates of Deposit	10,800,458	43.24%	10,800,458	43.24%
Business Checking	9,715,690	38.90%	9,715,690	38.90%
Cash in Banks and on Hand	3,912,446	15.66%	3,912,446	15.66%
Total Cash and Cash Equivalents	<u>\$ 24,428,594</u>		<u>\$ 24,428,594</u>	
TOTAL INVESTMENTS	<u>\$ 24,977,544</u>	100.00%	<u>\$ 24,977,544</u>	100.00%

Note: Howard County Junior College District includes Howard College and Southwest College for the Deaf.

**HOWARD COUNTY JUNIOR COLLEGE DISTRICT
STATEMENT OF CHANGES IN INVESTMENT ASSETS
QUARTER ENDED NOVEMBER 30, 2020**

	<u>Book Value</u>	<u>Market Value</u>
Beginning Investment Assets - 08/31/2020	\$ 26,960,383	\$ 26,960,383
Receipts/Contributions		-
Investment Income	12,379	12,379
Distributions	(1,995,218)	(1,995,218)
Net Realized Gains/(Losses)		
Changes in Net Unrealized Appreciation/(Depreciation)	_____	_____
Ending Investment Assets - 11/30/2020	<u><u>\$ 24,977,544</u></u>	<u><u>\$ 24,977,544</u></u>

**HOWARD COUNTY JUNIOR COLLEGE DISTRICT
COMPARATIVE SUMMARY OF INVESTMENTS
QUARTER ENDED NOVEMBER 30, 2020**

		<u>November 30, 2020</u>		<u>November 30, 2019</u>	
		<u>Book Value</u>	<u>Market Value</u>	<u>Book Value</u>	<u>Market Value</u>
Long-term Securities		\$ -	\$ -	\$ -	\$ -
Debt Securities					
	U.S. Government Obligations	\$ -	\$ -	\$ -	\$ -
	Direct Treasuries				
	Guaranteed				
	Mortgage Backed				
	Other				
	Total U.S. Government Obligations	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
	U.S. Government Agencies (Non-Gtd.)	\$ -	\$ -	\$ -	\$ -
	Mortgage Backed				
	Other				
	Total U.S. Govt. Agencies (Non-Gtd.)	\$ -	\$ -	\$ -	\$ -
	Foreign Government Obligations	\$ -	\$ -	\$ -	\$ -
	Municipal and County Bonds				
	Corporate Bonds				
	Total Debt Securities	\$ -	\$ -	\$ -	\$ -
Equity Securities					
	Common Stocks and Other Equities	\$ -	\$ -	\$ -	\$ -
	Index Funds				
	Mutual Funds				
	Other				
	Total Equity Securities	\$ -	\$ -	\$ -	\$ -
	Total Long-term Securities	\$ -	\$ -	\$ -	\$ -
Short-term Securities					
	U.S. Government (Direct & Guaranteed)	\$ -	\$ -	\$ -	\$ -
	U.S. Government Agencies (Non-Gtd)				
	Corporate Bonds				
	TexPool	548,950	548,950	545,510	545,510
	TexSTAR				
	Other				
	Total Short-term Securities	<u>\$ 548,950</u>	<u>\$ 548,950</u>	<u>\$ 545,510</u>	<u>\$ 545,510</u>
Cash and Cash Equivalents					
	Cash Held at State Treasury	\$ -	\$ -	\$ -	\$ -
	Certificates of Deposit	10,800,458	10,800,458	10,979,026	10,979,026
	Business Checking	9,715,690	9,715,690	8,747,630	8,747,630
	Cash in Banks and on Hand	3,912,446	3,912,446	306,827	306,827
	Total Cash and Cash Equivalents	<u>\$ 24,428,594</u>	<u>\$ 24,428,594</u>	<u>\$20,033,483</u>	<u>\$ 20,033,483</u>
	TOTAL INVESTMENTS	<u>\$ 24,977,544</u>	<u>\$ 24,977,544</u>	<u>\$20,578,993</u>	<u>\$ 20,578,993</u>

Note: Howard County Junior College District includes Howard College and Southwest College for the Deaf.

**HOWARD COUNTY JUNIOR COLLEGE DISTRICT
COMPARATIVE STATEMENT OF CHANGES IN INVESTMENT ASSETS
QUARTER ENDED NOVEMBER 30, 2020**

	<u>November 30, 2020</u>		<u>November 30, 2019</u>	
	<u>Book Value</u>	<u>Market Value</u>	<u>Book Value</u>	<u>Market Value</u>
Beginning Investment Assets	\$ 26,960,383	\$ 26,960,383	\$ 22,366,792	\$ 22,366,792
Receipts/Contributions		-		-
Investment Income	12,379	12,379	59,746	59,746
Distributions	(1,995,218)	(1,995,218)	(1,847,545)	(1,847,545)
Net Realized Gains (Losses)				
Changes in Net Unrealized Appreciation(Depreciation)				
Ending Investment Assets	<u>\$ 24,977,544</u>	<u>\$ 24,977,544</u>	<u>\$ 20,578,993</u>	<u>\$ 20,578,993</u>

HOWARD COUNTY JUNIOR COLLEGE DISTRICT
Summary of Investments
November 30, 2020

<u>Investment Type</u>	<u>Book Value</u>	<u>Market Value</u>
Publicly Traded Equity and Similar Investments:		
Common Stock	\$ -	\$ -
Equity/Stock Mutual Funds		
Balanced Mutual Funds		
"Commonfund" Equity Commingled Funds		
Other Equity Commingled Funds		
Preferred Stock		
Other (list)		
Total Publicly Traded Equity and Similar Investments	<u>\$ -</u>	<u>\$ -</u>
"Other" Investments - Other than Publicly Traded Equity and Debt Investments:		
Real Estate	\$ -	\$ -
Other Real Estate Investments		
Private Equity		
Hedge Funds		
"Commonfund" Alternative Asset Commingled Funds		
Annuities		
Commodities		
Collectibles		
Other (list)		
Total "Other" Investments - Other than Publicly Traded Equity & Debt Investments	<u>\$ -</u>	<u>\$ -</u>
Publicly Traded Debt & Similar Investments > 1 year maturity:		
U.S. Government Securities ("Treasuries")	\$ -	\$ -
U.S. Government Agency Securities ("Agencies")		
Mortgage Pass-Throughs - "Agency"		
Mortgage Pass-Throughs - "Private Label"		
Asset-Backed Securities (ABS)		
Sovereign Debt (non-U.S.)		
Municipal Obligations		
Collateralized Mortgage Obligations -		
Interest Only Strips (IOs)		
Principal Only Strips (POs)		
Inverse Floaters		
Stated Final Maturity Longer than 10 years		
Other CMO's - "Agency"		
Other CMO's - "Private Label"		
Corporate Obligations (U.S. or foreign companies) -		
Highly Rated (AAA/AA or equivalent)		
Other Investment Grade (A/BBB or equivalent)		
High Yield Bonds (<BBB or equivalent)		
Not Rated (NR)		
Fixed Income/Bond Mutual Funds		
Balanced Mutual Funds		
"Commonfund" Fixed Income/Bond Commingled Funds		
Other Fixed Income/Bond Commingled Funds		
G.I.C.'s (Guaranteed Investment Contracts)		
Other (list)		
Total Publicly Traded Debt & Similar Investments > 1 year	<u>\$ -</u>	<u>\$ -</u>
Short Term Investments & Deposits:		
U.S. Government Securities ("Treasuries")	\$ -	\$ -
U.S. Government Agency Securities ("Agencies")		
Bankers' Acceptances		
Commercial Paper - A1/P1		
Other Commercial Paper - lower rated		
Repurchase Agreements (Repos)		
Money Market Mutual Funds (registered with the SEC)		
Short-Term Mutual Funds Other than Money Market Mutual Funds (registered with the SEC)		
Public Funds Investment Pool Created to Function as a Money Market Mutual Fund-		
TexPool (and TexPool Prime)	548,950	548,950
Other Public Funds Investment Pools functioning as Money Market Mutual Funds		
Other Investment Pools - Short-Term		
Certificates of Deposit (CD) - Nonnegotiable	10,800,458	10,800,458
Certificates of Deposit (CD) - Negotiable		
Bank Deposits	3,905,883	3,905,883
Cash Held at State Treasury		
Securities Lending Collateral Reinvestments		
Other-		
Other Business Checking Funds	\$ 9,715,690	9,715,690
Cash on Hand	6,563	6,563
Total Short Term Investments & Deposits	<u>\$ 24,977,544</u>	<u>\$ 24,977,544</u>
TOTAL INVESTMENTS:	<u>\$ 24,977,544</u>	<u>\$ 24,977,544</u>